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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968
TRUMAN A. HERRON 1935-1976
EDWARD B. EVANS 1936-1971

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TO: The Honorable Commissioner
Attention: Director of the USPTO
Art Unit 3683

FROM: David H. Brinkman

Fax No.: (703) 308-5077

Phone: (513) 241-2324

Date: November 24, 2004

Fax: (513) 241-6234

Pages (including cover page): 6

Re: U.S. Serial No. 09/620,177 STAB JOINT COUPLING
Attorney Docket No. CTH-108

ATTACHMENTS/COMMENTS: OFFICIAL

Please deliver to Mail Stop 16, Director of USPTO

Request for Refund (2 pages)

Copy of RCE filed on 10/15/04 (1 page)

Copy of Petition for One (1) Month Extension of Time filed on 10/15/04 (1 page)

Copy of Auto-Reply Facsimile Transmission Dated 10/15/04 (1 page)

CERTIFICATE OF FACSIMILE TRANSMISSION

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David H. Brickman, Reg. No. 40,53211/24/04
Date**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shade et al.
Serial No.: 09/620,177
Filed: July 20, 2000
Confirmation No.: 3895
Group Art Unit: 3683
Examiner: King, Bradley T.
Title: **STAB JOINT COUPLING**
Atty Docket: CTH-108

Cincinnati, Ohio 45202

November 24, 2004

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR REFUND

A Request for Continued Examination (RCE) and Petition for One (1)

Month Extension of Time was filed in the above-identified case on October 15, 2004 via facsimile (copies enclosed). Applicants' counsel has been notified that Deposit Account No. 23-3000 has been charged twice for the \$110.00 for the Petition for One (1) Month Extension of Time. Applicants submit that the second

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charge of \$110.00 is in error and request a credit of this amount to Deposit
Account No. 23-3000.

Applicants are entitled to and respectfully request a refund in the
amount of \$110.00 to be credited to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.



David H. Brinkman, Reg. No. 40,532

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PTO/SB/30 (09-04)

Approved for use through 07/31/2008, OMB 0651-0031
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Request for Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/620,177
Filing Date	July 20, 2000
First Named Inventor	James W. Shade
Art Unit	3683
Examiner Name	Bradley T. King
Attorney Docket Number	CTH-108

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

ii. ☐ Other _____

- b. ☐ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/ Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other _____

2. Miscellaneous

- Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
- a. ☐
 b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 23-3000 I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	10/15/04
Name (Print/Type)	David H. Brinkman	Registration No.	40,532

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	10/15/04
Name (Print/Type)	David H. Brinkman		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Shade et al.
Serial No.: 09/620,177
Filed: July 20, 2000
Art Unit: 3683
Confirmation No.: 3895
Examiner: King, Bradley T.
Title: **STAB JOINT COUPLING**
Attorney Docket: CTH-108

Cincinnati, Ohio 45202

October 15, 2004

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION FOR ONE (1) MONTH EXTENSION OF TIME

Dear Sir:

Pursuant to 37 CFR 1.136(a), Applicants respectfully petition for an extension of time of one (1) month from the original response date of June 15, 2004 for responding to the outstanding Office Action in the above-identified patent application. With the extension of time, the period for response now extends up to and includes October 15, 2004. Please charge Deposit Account No. 23-3000 in the amount of \$110.00 for the extension of time fee.

If any other charges or credits are necessary to complete this communication, please apply them to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.


David H. Brinkman
Reg. No. 40,532

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TO:	The Honorable Commissioner Attention: Bradley T. King Art Unit 3683	FROM: David H. Brinkman
Fax No.:	(703) 672-9306	Phone: (513) 241-2324
Date:	October 15, 2004	Fax: (513) 241-6234
Pages (including cover page):	4	
Re:	U.S. Serial No. 09/828,177 STAB JOINT COUPLING Attorney Docket No. OTH-108	
ATTACHMENTS/COMMENTS: OFFICIAL Please deliver to Bradley T. King Request for Continued Examination (1 page) (in duplicate) Petition For One (1) Month Extension of Time (1 page)		
<small>CERTIFICATE OF FACSIMILE TRANSMISSION</small> A copy of this document is being furnished to the Office of the Commissioner of Patents and Trademarks, Office of the Director of the United States Patent and Trademark Office, Washington, D.C. 20504. Date of Transmittal: 10/15/2004 Page 1 of 4 PAGE 14 (NOV 24 2004 10:46 AM Eastern Daylight Time) 513 241 6234 FAX NO. 0019 P. 6		

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